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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.: 9025-7

DATE: January 11, 2000

**UTILITY PATENT APPLICATION TRANSMITTAL LETTER
AND FEE TRANSMITTAL FORM (37 CFR 1.53(b))**

BOX PATENT APPLICATION

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) is:

- ☒ a patent application
☐ a Continuation ☐ a Divisional ☐ a Continuation-in-Part (CIP)
of prior application no. ; filed
☐ A Small Entity Statement(s) was filed in the prior application; Status still proper and desired.

Inventor(s) or Application Identifier:

Richard S. Surwit, Lyle M. Allen, III, Sandra E. Cummings and Thomas Lee Ortel

Entitled: **APPARATUS AND METHODS FOR MONITORING AND MODIFYING
ANTICOAGULATION THERAPY OF REMOTELY LOCATED PATIENTS**

Enclosed are:

1. ☒ Application Transmittal Letter and Fee Transmittal Form (*A duplicate is enclosed for fee processing*)
2. ☒ 87 pages of Specification (including 43 claims)
3. ☒ 21 sheets of Drawings (35 USC 113)
4. ☐ Oath or Declaration
 - a. ☐ newly executed (*original or copy*)
 - b. ☐ copy from prior application (37 CFR 1.63(d) (*for continuation/divisional*) [Note Box 5 Below]
 - c. ☐ DELETION OF INVENTOR(S) (*Signed statement deleting inventor(s) named in the prior application*)
5. ☐ Incorporation By Reference (*useable if box 4b is checked*)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (*Appendix*)
7. ☐ Assignment papers (*cover sheet(s) and document(s)*)
8. ☐ Small Entity Statement(s)
9. ☒ Information Disclosure Statement, PTO-1449, and 33 references cited
10. ☐ Preliminary Amendment (*Please enter all claim amendments prior to calculating the filing fee.*)
11. ☐ English Translation Document
12. ☐ Certified Copy of Application No. ; Filed

13. ☐ Sequence Listing/ Sequence Listing Diskette
 a. ☐ computer readable copy
 b. ☐ paper copy
 c. ☐ statement in support
 14. ☐ An Associate Power of Attorney
 15. ☒ Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*
 16. ☒ Other: Application Filed Under 37 CFR 1.41(c)

The fee has been calculated as shown below:

	Column 1 No. Filed	Column 2 No. Extra	Small Entity Rate Fee	Large Entity Rate Fee
BASIC FEE			\$345.00	\$690.00
TOTAL CLAIMS	43 - 20 =	23	x 09 = \$	x 18 = \$ 414.00
INDEP CLAIMS	5 3 =	2	x 39 = \$	x 78 = \$ 156.00
<input type="checkbox"/> MULTIPLE Dependent Claims Presented			+ 130 = \$	+ 260 = \$
<i>If the difference in Col. 1 is less than zero, Enter "0" in Col. 2</i>			Total \$	Total \$1260.00

- ☒ A check in the amount of **\$1,260.00** to cover the filing fee is enclosed.
- ☐ A check in the amount of \$ is enclosed to cover the filing fee, PLUS the Assignment Recordation fee (\$40.00).
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0220.
- ☒ Any additional filing fees required under 37 CFR 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

Needham J. Boddie II

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CERTIFICATE OF EXPRESS MAILING

Express Mail Label No. EL533387127US
 Date of Deposit: January 11, 2000

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, DC 20231.

Lucille H. Gillette
 Lucille H. Gillette

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Richard S. Surwit et al.

Filed: Concurrently Herewith

For: APPARATUS AND METHODS FOR MONITORING AND
MODIFYING ANTICOAGULATION THERAPY OF
REMOTELY LOCATED PATIENTS

Date: January 11, 2000

Box Patent Application
Assistant Commissioner for Patents
Washington, DC 20231

APPLICATION FILED UNDER 37 CFR 1.41(c)

Sir:

The above identified application is being filed on behalf of the inventors,
Richard S. Surwit, resident of 3804 Sweeten Creek Road, Chapel Hill, NC 27514, **Lyle
M. Allen, III**, resident of 3937 Nottaway Road, Durham, NC 27707, **Sandra E.
Cummings**, resident of 3804 Sweeten Creek Road, Chapel Hill, NC 27514, and **Thomas
Lee Ortel**, resident of 200 Gattis, #103, Chapel Hill, NC 27514, under the provisions of
37 CFR 1.41(c). A Declaration and Power of Attorney from the inventors will follow, 37
CFR 1.63.

Respectfully submitted,



Needham J. Boddie, II
Registration No. 40,519

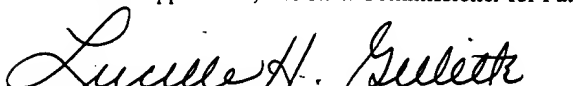
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